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CENTRAL BANK OF NIGERIA

Financial Policy & Regulation Department
Central Business District
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LETTER TO ALL BANKS AND OTHER FINANCIAL INSTITUTIONS

RE: RENDITION OF AML/CFT RETURNS TO CBN

Following the establishment of AML/CFT Divisions in Banking Supervision Department (BSD) and Other Financial Institutions Supervision Department (OFISD) of Central Bank of Nigeria (CBN), the AML/CFT off-site activities (hitherto carried out by Financial Policy and Regulation Department- FPRD) will now be undertaken by these Departments.

With effect from 31st January 2014, all Deposit Money Banks, Merchant Banks and Discount Houses are required to render their AML/CFT returns to BSD while other financial institutions should render same to OFISD.

For ease of reference; attached is the list of returns to be rendered as directed above, please.

DUNIYA, Y.B.

For: Director, Financial Policy and Regulation Department

LIST OF AML/CFT RETURNS RENDERED TO THE CBN

1. Foreign Currency Transaction Reports (FTR) - Section 2 of MLPA, 2011 (as amended)
2. Politically Exposed Persons (PEPs) - Regulation 18(4) of the CBN AML/CFT Regulations, 2013
3. Foreign Branches and Subsidiaries - Regulation 22(4) of the CBN AML/CFT Regulations, 2013
4. Employee Education and training Program - Semi-Annual and Annual - Regulation 37(7) of the CBN AML/CFT Regulations, 2013
5. Employee Education and training Program - Quarterly - Regulation 37(4) of the CBN AML/CFT Regulations, 2013
6. Monitoring of Employee Conduct - Regulation 38(4) of the CBN AML/CFT Regulations, 2013
7. Additional areas of AML/CFT Risks - Regulation 40(1) of the CBN AML/CFT Regulations, 2013
8. Additional Procedures and mitigants - Regulation 41 of the CBN AML/CFT Regulations, 2013
9. Testing For Adequacy of the AML/CFT Compliance - Regulation 42(2) of the CBN AML/CFT Regulations, 2013
10. Money Value Transfer (MVT) Services - Regulation 21(2) of the CBN AML/CFT Regulations, 2013
11. Financially Excluded Persons - Regulation 77(5) of the CBN AML/CFT Regulations, 2013
12. RBS Monthly Returns (Form 001) and Control Function Assessment (Form 002)
13. Returns on UNSCRs and other terrorist financing designated sanction lists
14. Returns on Dud Cheques Issued

